# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HELMLING et al.

Application No. 10/522,582 Confirmation No. 6124

Filed: 26 January 2005

For: GHRELIN BINDING NUCLEIC

ACIDS

Art Unit: (Unassigned - New Appln.)

Examiner: (Unassigned - New Appln.)

Atty. Docket No. 116676-2

Customer No.

\*29180\*
29180

PATENT TRADEMARK OFFICE

RESPONSE TO NOTICE TO FILE MISSING REQUIREMENTS

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Mail Stop PCT

Sir:

In response to the Notice to File Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office dated 24 February 2006, submitted herewith are the following:

- Executed Declaration & Power of Attorney
- Copy of Notice to File Missing Requirements
- Sequence Listing (CRF)
- Statement

# OFFICIAL FEE:

Declaration surcharge \$65.00 TOTAL FEE ENCLOSED: \$65.00

Applicant: HELMLING et al. Application No. 10/522,582

Applicants submit a check in the amount of the required fee (\$65.00). The Commissioner is hereby authorized to charge any additional fees which may be required for this Response, or to credit any overpayment, to Deposit Account No. 02-1818, and to notify the undersigned.

Date: 23 May 2006

Respectfully submitted,

Dean H. Nakamura Registration No. 33,981

BBL

1615 L Street, N.W.

**Suite 1200** 

Washington, D.C. 20036

Telephone: (202) 955-6851 Facsimile: (202) 835-4143

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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\*29180\*

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PATENT TRADEMARK OFFICE

### **STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Mail Stop PCT

Sir:

Attached hereto is a CRF containing the Sequence Listing. The content of the CRF and of the Paper Copy of the Sequence Listing previously filed is the same.

Date: 23 May 2006

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Respectfully submitted,

Dean H. Nakamura Registration No. 33,981

**BBL** 

1615 L Street, N.W.

**Suite 1200** 

Washington, D.C. 20036 Telephone: (202) 955-6851 Facsimile: (202) 835-4143

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)  pplicant(s): HELMLING et al.			Docket No. 116676-2	
Application No. 10/522,582	Filing Date 26 January 2005	Examiner - TBA	Customer No. 29180	Group Art Un TBA
GHRELIN	BINDING NUCLEIC AC	CIDS		
F. Transmittal Form  2. Fee Transmittal and 3. Petition for Extens 4. Response to Notice is being deposited w	nd check for \$125.00 sion of Time for 1 month e to File Missing Requiren (I) with the United States Pos	<ul><li>5. Executed Declaration and Po</li><li>6. Sequence Listing (CFR) and</li><li>7. Postcard</li></ul>	Statement e to Addressee" s	
—	May 24, 2006 (Date)			22010 1400 01
		(Typed or Printed Name of Pers		dence)
		EV551749		
	Note: Each pap	per must have its own certificate of mailing.		

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APISAGE d PCT/PTO 24 MAY 2006

PTO/SB/21 (03-04)
Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/522.582 Filing Date TRANSMITTAL 26 January 2005 First Named Inventor **FORM** Helmling Art Unit TBA **Examiner Name** TBA (to be used for all correspondence after initial filing) Attorney Docket Number 116676-2 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC ✓ Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): Sequence Listing CRF Request for Refund **Express Abandonment Request Executed Declaration** Statement re: Sequence Listing CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Bell, Boyd & Llgyd, PLLC Signature Printed name Dean Nakamura Date Reg. No. 33,981 23 May 2006 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name

Date

PTO/SB/17 (11-04)
Approved for use through 10/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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# **FEE TRANSMITTAL** for FY 2005

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT 125.00

Complete if Known				
Application Number	10/522,582			
Filing Date	26 January 2005			
First Named Inventor	S. Helmling et al.			
Examiner Name	(New Application – Unassigned)			
Art Unit	(New Application – Unassigned)			
Attorney Docket No.	116676-2			

METHOD OF PAYMENT (check all that apply)				FEE C	ALCULATION (continued)	
		DITIONAL	. FEES			
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order		Large Entity Small Entity				
Deposit Account:	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee Pa	aid
Account 02-1818	1051	130	2051	65	Surcharge - late filing fee or oath 65.0	0
Number Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account Bell, Boyd & Lloyd PLLC	1053	130	1053	130	Non-English specification	
Name	1812	2,520	1812	2,520	For filing request for ex parte reexam	
The Commissioner is authorized to: (check all that apply)  ☐ Charge fee(s) indicated below ☑ Credit any overpayments		920°	1804	920*	Requesting publication of SIR prior to Examiner action	
⊠ Charge any additional fee(s) under 1.16 or 1.17 during pendency of this application		1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
☐ Charge fee(s) indicated below, except for the filing fee	1251	110	2251	55	Extension for reply within first month 60.0	0
to the above-identified deposit account.  FEE CALCULATION	1252	430	2252	215	Extension for reply within second month	
BASIC FILING FEE	1253	980	2253	490	Extension for reply within third month	$\neg$
Large Entity   Small Entity	1254	1,530	2254	765	Extension for reply within fourth month	
Fee Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) Fee Paid	1255	2,080	2255	1,040	Extension for reply within fifth month	
1001 790 2001 395 Utility filing fee	1401	340	2401	170	Notice of Appeal	
1002 350 2002 175 Design filing fee	1402	340	2402	170	Filing a brief in support of an appeal	
1003 550 2003 275 Plant filing fee	1403	300	2403	150	Request for oral hearing	
1004 790 2004 395 Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filling fee	1452	110	2452	55	Petition to revive – unavoidable	$\neg$
SUBTOTAL (1) (\$)	1453	1,370	2453	685	Petition to revive – unintentional	$\neg$
	1501	1,370	2501	685	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES	1502	490	2502	245	Design issue fee	
Extra Fee from Fee Claims below Paid	1503	660	2503	330	Plant issue fee	
Total Claims = X = T	1460	130	1460	130	Petitions to the Commissioner	
Independent	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims = X =	1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent  Large Entity   Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Fee Fee Code (\$) Code (\$)	1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 2202 Claims in excess of 20 1201 2201 Independent claims in excess of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	$\neg$
1203 2203 Multiple dependent claim, if not paid	1					$\dashv$
1204 2204 ** Reissue independent claims over	1801	790	2801	395	Request for Continued Examination (RCE)	_
onginal patent  ** Reissue claims in excess of 20 and	1802	900	1802	900	Request for expedited examination of a design application	
over original patent	Other f	ee (specif	ý)	_		
SUBTOTAL (2) (\$)	*Redu	ced by Ba	sic Filin	na Fee P	aid SUBTOTAL (3) (\$125.00	7
**or number previously paid, if greater; For Reissues, see above		, 50		J. 221	aid SUBTOTAL (3) (\$)125.00	

SUBMITTED BY		(1		Cor	nplete (if applicable)
Name (Print/Type)	Dean H. Nakamura	Registration No. Attorney/Agent)	33,981	Telephone	(202) 955-6851
Signature	1 Stu	M		Date	23 May 2006

WARNING: Information on this form may become public. Credit card information should not be Included on this form. Provide credit card information and authorization on PTO-2038. SEND TO: Commissioner for Patents, Alexandria, VA 22313-1450.



# United States Patent and Trademark Office

Due: 4-24-06

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/522,582	Steffen Helmling	2502491-991150

INTERNATIONAL APPLICATION NO. PCT/EP03/08542

35928

DLA PIPER RUDNICK DLA PIPER RUDNICK GRAY CARY US, LLIGRAY CARY US LLP

I.A. FILING DATE PRIORITY DATE

1625 MASSACHUSETTS AVENUE, NW

WASHINGTON, DC 20036-2247

MAR - 1 2006

**CONFIRMATION NO. 6124 371 FORMALITIES LETTER** 

08/01/2002

OC000000018127272\*

08/01/2003

Date Mailed: 02/24/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/26/2005
- Copy of the International Search Report filed on 01/26/2005
- U.S. Basic National Fees filed on 01/30/2006
- Priority Documents filed on 01/30/2006
- Specification filed on 01/30/2006
- Claims filed on 01/30/2006
- Abstracts filed on 01/30/2006
- Drawings filed on 01/30/2006
- Paper nucleotide sequence listings filed on 01/30/2006

RECEIVED BELL, BOYD & LLOYD INTELLECTUAL PROPERTY DOCKET

MAR 0 6 2006

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where

- applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

**VONDA M WALLACE** 

Telephone: (703) 308-9140 EXT 225

# PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/522,582	PCT/EP03/08542	2502491-991150

FORM PCT/DO/EO/905 (371 Formalities Notice)